

PTO/SB/97 (03-03)
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PATTI HESPELL

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- 1. 37 CFR 1.28(c) Notification (2 pgs.)
- 2. Fee Transmittal in duplication (2 pgs.)
- 3. Certificate of Transmission (1 pg.)

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T730-10



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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(A))

PATENT

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Date: _July 30, 2004_____

Patti Hespell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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HAMILTON		2614				
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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 CFR 1.28(c))

The above noted patent application was filed and prosecuted as a small entity. While the assignee of the patent application is and has always been a small entity (Expanse Networks, Inc is the current assignee of the complete interest), a license was granted to Next Level Communications, Inc for the period of time from December 15, 1999 to January 15, 2002. Applicants erroneously believed that the patent application was still entitled to small entity status during this time frame. Applicant has recently discovered that the license to Next Level Communications, Inc. (possibly a large entity for at least a portion of this time) may have affected their small entity status during this time frame.

Accordingly, Applicant has diligently gathered data related to fees paid as a small entity during the term of the license. The table on the next page summarizes for each applicable action (item and date) the fees paid as a small entity, the current large entity fee, and the current amount owed as a result of small entity payment error.

The above noted patent application is currently entitled to small entity status.

Item	Date	Small Entity Fee Paid	Current Large Entity Fee	Amount to be Paid
Utility Application Filing Fee	2/21/01	\$355	\$770	\$415
Surcharge – Notice to File Missing Parts	2/21/00	\$65	\$130	\$65
TOTAL	\$480			

Please charge the above noted fees to Deposit Account 501535.

Respectfully submitted,

Douglas J. Kyder, Esquire

Reg. No. 43,073

Expanse Networks, Inc. 6206 Kellers Church Road Pipersville, PA 18947

Phone: (215) 766-2100 Fax: (215) 766-2920 Date: July 30, 2004

PTO/SB/17 (10-03)
Approved for use through 07/31/2008. OM8 0651-0032
U.S. Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/751.349 Application Number 28 DEC 2000 Filing Date for FY 2004 HAMILTON First Named Inventor Effective 10/01/2003. Petent fees are subject to annual revision. MANNING, JOHN Examiner Name 🖊 Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2614 (\$) 480.00 TOTAL AMOUNT OF PAYMENT T730-10 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Credit card Money Order Other Large Entity | Small Entity Deposit Account: Fee Code Foo (5) Foo Code Fee Description Deposit Fee Paid 501535 2051 1051 130 65 Surcharge - late filing fee or eath Number Deposit 2052 Surcharge - late provisional filing fee or 1052 50 25 EXPANSE NETWORKS, INC. Name Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2.520 For filling a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below ✓ Credit any overpayments Requesting publication of SIR prior to 920 1804 920* 1804 Charge any additional fee(a) or any underpayment of fee(a) Charge fee(s) indicated below, except for the filing fee 1805 1,8401 1805 1.8401 Requesting publication of SIR after to the above-identified deposit account. Examiner a 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 420 2252 Extension for reply within second month 1252 210 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Description Fee Pald 1254 1,480 2254 740 Extension for reply within fourth month Code (\$) 2255 1,005 Extension for reply within fifth month 1255 2,010 1001 770 2001 385 Utility filing fee 1401 2401 1002 340 330 165 Notice of Appeal 2002 170 Design filing fee 1003 530 2003 265 1402 330 2402 165 Filing a brief in support of an appeal Plant filing fee 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 2005 1451 1.510 1451 1,510 Petition to institute a public use proceeding 160 Provisional filing fee 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 2453 1453 1,330 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 2501 1.330 655 Utility issue fee (or reissue) Fee Paid Extra Claims below 1502 480 2502 240 Dasign issue fee **Total Claims** × -201 1503 640 2503 320 Plant issue foo Independent Claims - 3°° = 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Description Recording each patent assignment per Code (\$) 8021 40 8021 property (times number of properties) 1202 18 2202 Claims in excess of 20 9 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 385 1201 86 2201 Independent claims in excess of 3 43 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 1204 88 2204 43 Reissue independent claims ovor original patent 385 Request for Continued Examination (RCE)

or number previously paid, if greator; For Roissues, see above SUBMITTED BY Complete (# applicable)) Registration No. Name (Print/Type) Telephone 215-766-2100 Øouglas J. Ryder 43.073 07/30/04

1801 770

1802

900

Other fee (specify)

** Reissue claims in excess of 20

(\$

and over original patent

1205 18 2205

SUBTOTAL (2)

2801

1802

*Reduced by Basic Filing Fee Paid

Request for expedited examination

SUBTOTAL (3)

(\$) 480.00

of a design application

37CFR 1.28(c) Notification

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